



NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT OR COMPENSATION NEGOTIATION OR AGREEMENT AND RECUSAL STATEMENT FOR USDA EMPLOYEES

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires USDA public financial disclosure report filers to file a statement notifying the USDA Office of Ethics (Room 347-W Whitten Bldg, 1400 Independence Ave, S.W., Washington, DC 20250) of any negotiation for or agreement of future employment or compensation with a non-federal entity, within **three (3) business days** after commencement of the negotiation or agreement. We advise that employees who file this notification statement and recusal statement share it with their immediate supervisor and direct subordinates in order to increase its efficacy. Note: the term "entity" as used below may refer to more than one entity.

NOTIFICATION OF POST-EMPLOYMENT NEGOTIATION OR AGREEMENT

1. Name of Employee

2. Agency/Office

3. Date of Negotiation or Agreement Commenced

4. Name(s) of Non-Federal Entity or Entities

Disclose each non-federal entity with which you are negotiating for or have an agreement of future employment or compensation.

RECUSAL STATEMENT

Under 18 U.S.C. § 208, I am prohibited from participating personally and substantially in any particular matter that would have a direct and predictable effect on the financial interests of an entity with which I am negotiating for or have an agreement of employment or compensation, unless I first obtain a written waiver.

In view of the potential conflict of interest and impartiality appearances that might otherwise arise, for the duration of my discussions with the entity listed above concerning future employment or compensation, I hereby disqualify myself from personally and substantially participating in any particular matter that I know, or reasonably should know, directly and predictably affects the entity's financial interests. At a minimum, this would entail any particular matters in which the entity is a party including, among other matters:

- bids on Federal contracts;
- applications for loans, grants, or benefits;
- audits;
- litigation;
- claims; or
- investigations.

However, it would also involve legislation, rulemaking, or policymaking that is narrowly focused on the interests of a discrete and identifiable class of persons. See [5 C.F.R. § 2640.103\(a\)\(1\)](#).

Finally, I acknowledge that even if I do not participate personally and substantially in a particular matter, but the matter actually was pending under my official responsibility during my last year of service and involved specific parties during that time, I will be barred from "representing" another before the Executive Branch for two years from the date of my termination from Federal service. See 18 U.S.C. § 207(a)(2). This is in addition to other post-Government employment restrictions.

I understand that I can receive ethics advice from the USDA Office of Ethics on post-Government employment or compensation questions, both while a Federal employee and afterward.

5. Employee Signature

6. Date